



## DOCUMENTS REQUIRED FOR NEW SUBMISSIONS

This is a guideline list of Documents and Forms for new study submissions.

Studies requiring Full Committee review, and multi-site Low and Negligible Risk studies must be completed and submitted through **On-line forms**, and forwarded to the CALHN Research Ethics Office by **Electronic pdf format**, by the final date for new Submission.

Low and Negligible Risk studies conducted only at CALHN sites and Audit studies do not need to be submitted through on-line forms, and should be forwarded to the CALHN Research Office in Electronic format only.

| <b>Studies Involving an Investigational DRUG (Full Committee review)</b> |                             |
|--|-----------------------------|
| <b>Document Title or Type</b>  | <b>Electronic Copy ONLY</b> |
| HREA (through on-line forms only)  | <b>Yes</b>                  |
| Cover letter and Investigator's Statement                                | <b>Yes</b>                  |
| Protocol   | <b>Yes</b>                  |
| Informed Consent Documents   | <b>Yes</b>                  |
| Questionnaires   | <b>Yes</b>                  |
| Advertising Material   | <b>Yes</b>                  |
| Investigator's Brochure or Product Information                           | <b>Yes</b>                  |
| Drug and Device Checklist  | <b>Yes</b>                  |
| Any other material, including any NMA or State documents                 | <b>Yes</b>                  |
| Invoicing and Fee Form   | <b>Yes</b>                  |
| Radiation Safety Report/s (if applicable)                                | <b>Yes</b>                  |
| EPA Notification Form (if applicable)                                    | <b>Yes</b>                  |
| CTN Notification (through TGA electronically)                            |                             |

| <b>Studies Involving a DEVICE (Full Committee review)</b> |                             |
|---|-----------------------------|
| <b>Document Title or Type</b>                             | <b>Electronic Copy ONLY</b> |
| HREA (through on-line forms only)                         | <b>Yes</b>                  |
| Cover letter and Investigator's Statement                 | <b>Yes</b>                  |
| Protocol  | <b>Yes</b>                  |
| Informed Consent Documents                                | <b>Yes</b>                  |
| Questionnaires  | <b>Yes</b>                  |
| Advertising Material                                      | <b>Yes</b>                  |
| Investigator's Brochure or Product Information            | <b>Yes</b>                  |
| Drug and Device Checklist                                 | <b>Yes</b>                  |
| Any other material, including any NMA or State documents  | <b>Yes</b>                  |
| Invoicing and Fee Form                                    | <b>Yes</b>                  |
| Radiation Safety Report/s (if applicable)                 | <b>Yes</b>                  |
| EPA Notification Form (if applicable)                     | <b>Yes</b>                  |
| CTN Notification (through TGA electronically)             |                             |

## OTHER Studies requiring Full Committee review

| Document Title or Type   | Electronic Copy ONLY |
|--|----------------------|
| HREA (through on-line forms only)                                | Yes                  |
| Cover letter   | Yes                  |
| Protocol   | Yes                  |
| Informed Consent Documents                                       | Yes                  |
| Questionnaires   | Yes                  |
| Advertising Material   | Yes                  |
| Any other material, including any NMA or State documents         | Yes                  |
| Radiation Safety Report/s (if applicable)                        | Yes                  |
| Invoicing and Fee Form (for Commercial Sponsored or CRG studies) | Yes                  |

## Low and Negligible Risk (LNR) Studies

| Document Title or Type                     | Electronic Copy ONLY |
|--|----------------------|
| LNR Ethics and Governance Application Form | Yes                  |
| Cover letter                               | Yes                  |
| Protocol                                   | Yes                  |
| Informed Consent Documents                 | Yes                  |
| Questionnaires                             | Yes                  |
| Advertising Material                       | Yes                  |
| Any other material                         | Yes                  |

## AUDIT Studies

| Document Title or Type                     | Electronic Copy ONLY |
|--|----------------------|
| LNR Ethics and Governance Application Form | Yes                  |
| Cover letter                               | Yes                  |
| Protocol                                   | Yes                  |
| Questionnaires                             | Yes                  |
| Data Collection Sheets                     | Yes                  |
| Any other material                         | Yes                  |

Before a study can commence the investigator must also complete the governance requirements. Governance requirements may vary depending upon the complexity of the study but will usually include the completion of a Site Specific Assessment form and, for clinical trials a Clinical Trial Agreement. Please consult the Research Governance Officer about these requirements.

**Full Committee Review studies** to be emailed to: [Health.CALHNResearchEthics@sa.gov.au](mailto:Health.CALHNResearchEthics@sa.gov.au)

**Low and Negligible Risk and Audit studies** to be emailed to: [Health.CALHNResearchLNR@sa.gov.au](mailto:Health.CALHNResearchLNR@sa.gov.au)